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513-241-2324

PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

Edmund P. Wood  
1923-1968  
Truman A. Herron  
1935-1976  
Edward B. Evans  
1936-1971

TELECOPIER  
513-421-7269

PLEASE IMMEDIATELY DELIVER TO  
EXAMINER K. TRAN GROUP 2311

TELEX  
501826

Of Counsel  
Herbert C. Brinkman  
William G. Konold

DEC 3 1992

DATE: Dec 3, 1992 RE: Ser. No. 07/797,401

Examiner Khai Tran  
U.S. Patent & Trademark Office  
TO: FROM: Kurt L. Grossman

GROUP 2311 TOTAL PAGES: -6-  
1-703-308-3718 (including cover sheet)

If transmission is interrupted or of poor quality, please notify us immediately by calling (513)-241-2324 and ask for the sender's secretary. OUR FAX NUMBER IS (513)-421-7269.

MESSAGE/COMMENTS:

Dear Examiner Tran: As we discussed by phone a few moments ago, I filed a Supplemental Amendment in September 8, 1992 which has had no response. As requested, I am faxing a copy of that Amendment with the cover sheet and a copy of the postcard showing the PTO mailroom receipt of the Amendment on September 14, 1992.

Please call me at 513-241-2324 to acknowledge receipt.

THANK YOU

Kurt L. Grossman

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## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Patrick D. McCarthy  
 Serial No.: 07/797,401 Examiner: Khai Tran  
 Filed: November 26, 1991 Group No.: 2311  
 For: CENTRALIZED CONSUMER CASH VALUE ACCUMULATION  
 SYSTEM FOR MULTIPLE MERCHANTS

COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

## AMENDMENT TRANSMITTAL

- Transmitted herewith is an amendment for this application.
- ☒ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ Enclosed is a verified statement to establish small entity status  
☐ Other Than a Small Entity

- The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY		LARGE ENT.	
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	Rate	Addit. Fee
TOTAL	* 40	MINUS ** 40	0	X10	\$ 0	X20	\$
INDEP.	* 4	MINUS *** 4	0	X36	\$ 0	X72	\$
FIRST PRESENTATION OF MULTIPLE DEP CLAIM				+110	\$ 0	+220	\$
TOTAL FEE					\$ 0	TOTAL FEE	\$

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3 write "3" in this space.  
 The "Highest Number Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ No additional fee for claims is required.
- Attached is a check in the sum of \$ \_\_\_\_\_.
  - Please charge my Deposit Account No. 23-3000 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
  - The proceedings herein are for a patent application and the provisions of 37 CFR 1.116 apply.

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Complete (a) or (b) as applicable.

(a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
_____ one month	\$ 110.00	\$ 55.00
_____ two months	350.00	175.00
_____ three months	810.00	405.00
_____ four months	1,280.00	640.00

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)


\_\_\_\_\_ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$ \_\_\_\_\_.

OR (b)

☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

☒ If any additional fee for claims is required, charge Acct. No. 23-3000.

2700 Carew Tower  
Cincinnati, OH 45202  
(513) 241-2324

  
Signature of Attorney

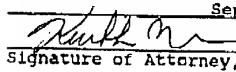
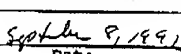
Kurt L. Grossman  
Type name of Attorney

Reg. No. 29,799

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

September 8, 1992

 29,799   
Signature of Attorney, Reg. No. Date

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Application Ser. No. 07/797,401 Doc. No. 28,666 Paper No. \_\_\_\_\_

Please place the official stamp of the Patent Office on this card and return it to constitute acknowledgment by the Patent Office of receipt on the date stamped.

Inventor(s) Patrick D. McCarthy

Title CENTRALIZED CONSUMER CREDIT VALUE ACCUMULATION  
SYSTEM FOR MULTIPLE MERCHANDISES

Enclosure(s) Amendment Transmittal, Supplemental  
Amendment, Return Postcard

Attorney KLG

Date 9-8-92

WOOD, HERRON & EVANS